

Responsible investment at Kairos

UPDATES

January 2023 vs January 2022

January 2023

The changes made concern:

- the distinction between criteria to assess and manage the sustainability risk, applicable to all of Kairos's products and services, and those criteria applicable only to product/services that promote environmental and/or social characteristics and/or have sustainable investment as objective; for this purpose, the exclusions based on regulations, controversies and ESG risk rating and those related to ethical issues have been identified, the last ones applicable exclusively to funds classified as articles 8 and 9 as of SFDR;
- additional exclusions that may be included in the offering documents of each product/service, together with the inclusion criteria, that define the product/service eligible investment universe.
- update concerning the prevision on investments in other UCIs: the sustainability risk of the target UCIs is deemed managed if such UCIs is subject to SFDR; with regard to UCIs not subject to the SFDR, the sustainability risk is assessed based on the information provided by the target investment manager in relation to the UCI as part of the due diligence process.
- the role of the Analysts & ESG team in the investment valuation process to guarantee the compliance with the policy.

KAIROS
a Julius Baer Group company